

Receipt

PATENT

I hereby certify that on the date specified below, this correspondence is being deposited with the United States Postal Service as first-class mail in an envelope addressed to the Assistant Commissioner for Patents, Office of Initial Patent Examination, Customer Service Center, Washington, DC 20231.

September 25, 2000

Date

Bonita R. Gladden

RECEIVED  
OCT 19 2000  
TECH CENTER 1600/2300

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants : Merl F. Hoekstra et al.  
Application No. : 09/385,918  
Filed : August 30, 1999  
For : METHODS FOR MODULATING SIGNAL TRANSDUCTION  
MEDIATED BY TGF- $\beta$  AND RELATED PROTEINS  
Examiner : Janet L. Andres, Ph.D.  
Art Unit : 1642  
Docket No. : 860098.433  
Date : September 25, 2000

Assistant Commissioner for Patents  
Office of Initial Patent Examination  
Customer Service Center  
Washington, DC 20231

REQUEST FOR CORRECTED FILING RECEIPT

Assistant Commissioner for Patents:

Attached is a copy of the official Filing Receipt received from the PTO in the above-identified application, for which issuance of a corrected Filing Receipt is respectfully requested.

There is an error with respect to the following data, which is incorrectly entered or omitted. There is an error in the "Applicant(s)" section, which should read as follows:

**MERL F. HOEKSTRA, CARDIFF-BY THE SEA, CA**  
**WEILIN XIE, SAN DIEGO, CA**  
**BRION W. MURRAY, SAN DIEGO, CA**  
**FRANK M. MERCURIO, DEL MAR, CA**

The correction to be made has been marked in red on the copy of the enclosed Filing Receipt. A copy of Applicants' Declaration and Power of Attorney is enclosed.

The correction is not due to any error by Applicants and no fee is due.

Respectfully submitted,  
Seed Intellectual Property Law Group PLLC

A handwritten signature in black ink, appearing to read 'William T. Christiansen', is written over a horizontal line.

William T. Christiansen, Ph.D.  
Registration No. 44,614

WTC:brg

Enclosures:

Postcard

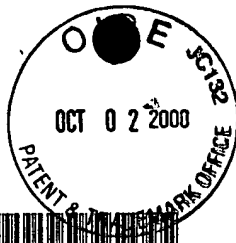
Copy of Filing Receipt

Copy of Declaration and Power of Attorney

701 Fifth Avenue, Suite 6300  
Seattle, Washington 98104-7092  
Phone: (206) 622-4900  
Fax: (206) 682-6031  
wpn/860098/433/Forms/CorrFilRec

**FILING RECEIPT**

\*OC000000004990701\*

**UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office**Address: ASSISTANT SECRETARY AND  
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APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY.DOCKET.NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/385,918	08/30/1999	1643	1310	860098.433	12	56	14

ANN T KADLECEK  
SEED AND BERRY LLP  
6300 COLUMBIA CENTER  
701 FIFTH AVENUE  
SEATTLE, WA 981047092**RECEIVED****MAR 20 2000**SEED INTELLECTUAL PROPERTY  
LAW GROUP PLLC

Date Mailed: 03/14/2000

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. **If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the PTO processes the reply to the Notice, the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).**

**Applicant(s)**Merl F. Hoekstra, Cardiff-by-the-sea, CA ;  
Weilin Xie, San Diego, CA ;  
Brion W. Murray, San Diego, CA ;**FRANK M. MERCURIO, DEL MAR, CA****Continuing Data as Claimed by Applicant****Foreign Applications**

Foreign filing license granted on 09/27/1999

**Title**METHODS FOR MODULATING SIGNAL TRANSDUCTION MEDIATED BY TGF-B AND RELATED  
PROTEINS**Preliminary Class**

435

Data entry by : WALKER, PARTHENIA

Team : OIPE

Date: 03/14/2000



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Bib Data Sheet

<b>SERIAL NUMBER</b> 09/385,918	<b>FILING DATE</b> 08/30/1999 <b>RULE</b> _	<b>CLASS</b> 435	<b>GROUP ART UNIT</b> 1643	<b>ATTORNEY DOCKET NO.</b> 860098.433
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**APPLICANTS**
 Merl F. Hoekstra, Cardiff-by-the-sea, CA ;  
 Weilin Xie, San Diego, CA ;  
 Brion W. Murray, San Diego, CA ;  
 Frank M. Mercurio, Del Mar, CA ;

\*\* CONTINUING DATA \*\*\*\*\*

\*\* FOREIGN APPLICATIONS \*\*\*\*\*

 IF REQUIRED, FOREIGN FILING LICENSE  
 GRANTED \*\* 09/27/1999

\*\* SMALL ENTITY \*\*

Foreign Priority claimed <input type="checkbox"/> yes <input checked="" type="checkbox"/> no	<b>STATE OR COUNTRY</b> CA	<b>SHEETS DRAWING</b> 12	<b>TOTAL CLAIMS</b> 56	<b>INDEPENDENT CLAIMS</b> 14
35 USC 119 (a-d) conditions met <input type="checkbox"/> yes <input checked="" type="checkbox"/> no <input type="checkbox"/> Met after Allowance				
Verified and Acknowledged Examiner's Signature <i>[Signature]</i> Initials <i>[Initials]</i>				

**ADDRESS**

500

**TITLE**

METHODS FOR MODULATING SIGNAL TRANSDUCTION MEDIATED BY TGF-B AND RELATED PROTEINS

<b>FILING FEE RECEIVED</b> 1310	FEES: Authority has been given in Paper No. _____ to charge/credit DEPOSIT ACCOUNT No. _____ for following:	<input type="checkbox"/> All Fees
		<input type="checkbox"/> 1.16 Fees ( Filing )
		<input type="checkbox"/> 1.17 Fees ( Processing Ext. of time )
		<input type="checkbox"/> 1.18 Fees ( Issue )
		<input type="checkbox"/> Other _____
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